SEP 2 4 2008

| FORM PTO-1449 | | | SERIAL NO. 10/814,662 | CASE NO. 9683/183 |
|---|---|---|---|---|
| **LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT** | | | FILING DATE March 31, 2004 | GROUP ART UNIT 2134 |
| (use several sheets if necessary) | APPLICANT(S): Naoki Naruse et al. | | | CONFIRMATION NO. 5965 |

**REFERENCE DESIGNATION**          **U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | | DOCUMENT NUMBER Number-Kind Code (if known) | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---|---|---|---|---|---|---|
| | D1 | 5,673,315 | 09/30/1997 | Wolf | | |
| | D2 | 6,317,742 B1 | 11/13/2001 | Nagaratnam et al. | | |
| | D3 | 6,766,353 B1 | 07/20/2004 | Lin et al. | | |
| | D4 | 6,971,016 B1 | 11/29/2005 | Barnett | | |
| | D5 | 6,976,165 B1 | 12/13/2005 | Carpentier et al. | | |
| | D6 | 2005/0160045 A1 | 07/21/2005 | Watanabe et al. | | |
| | D7 | 2005/0166264 A1 | 07/28/2005 | Yamada et al. | | |

**FOREIGN PATENT DOCUMENTS**

| EXAMINER INITIAL | | DOCUMENT NUMBER Number-Kind Code (if known) | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---|---|---|---|---|---|---|
| | D8 | EP 0 813 132 A2 | 12/17/1997 | EPO | | |
| | D9 | EP 1 132 796 A1 | 09/12/2001 | EPO | | |
| | D10 | JP 2001-117769 A | 04/27/2001 | JPO | | Abstract |
| | D11 | JP 2001-216043 A | 08/10/2001 | JPO | | Translation |
| | D12 | JP 2001-243062 A | 09/07/2001 | JPO | | Abstract |
| | D13 | JP 2003-50641 A | 02/21/2003 | JPO | | Abstract |
| | D14 | WO 00/42498 A1 | 07/20/2000 | WIPO | | Abstract |
| | D15 | WO 98/21683 A2 | 05/22/1998 | WIPO | | |

| EXAMINER INITIAL | | OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |
|---|---|---|
| | D16 | Edited by ASCII Shoseki Henshubu "i-Mode Java Programming – Stand Alone Application Part revised new edition" ASCII Coup., May 21, 2001, total 17 pages (with partial translation) |
| | D17 | Li, G. "Java Series Java2 Platform Security", *Kabushiki Kaisha Pearson Education*, First Edition, November 30, 2000, total 14 pages (with partial translation) |
| | D18 | Computer Today, No.87, September 1, 1998, total 9 pages (with partial translation) |
| | D19 | Translation of International Preliminary Examination Report issued October 6, 2003 in International Application number PCT/JP03/03974 |
| | D20 | Megler, V., "i-mode From bandwidth problem into Internet phenomenon", *Developerworks*, February 2002, XP002329582, 13 Pages |
| | D21 | Sun Microsystems, Inc., "Default Policy Implementation and Policy File Syntax", *Java Sun*, October 30, 1998, XP002329583, 11 Pages |
| | D22 | NTT DoCoMo, "i-mode Java Content Developer's Guide –Functional Descriptions-", Release 1.1, May 14, 2000 total 12 pages |
| | D23 | Jess Garms, "Professional Java Security", Publishing House of Electronics Industry, Jan. 31, 2002 (with translation) total 35 pages |

| EXAMINER /Piotr Poltorak/ | DATE CONSIDERED 12/09/2008 |
|---|---|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

609773.1

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.P./

| FORM PTO-1449 | | SERIAL NO. 10/814,662 | CASE NO. 9683/183 |
|---|---|---|---|
| **LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT** | | FILING DATE March 31, 2004 | GROUP ART UNIT 2134 |
| (use several sheets if necessary) | | APPLICANT(S): Naoki Naruse et al. | |

| EXAMINER INITIAL | | **OTHER ART – NON PATENT LITERATURE DOCUMENTS** (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |
|---|---|---|
| | D24 | Li Gong, "Java2 Platform Security", Japan, Pearson Education, Inc., November 30, 2000, 1$^{st}$ edition, pp 41-44 and 123-138 (ISBN: 4-89471-193-1) (with conscious explanation) |
| | D25 | ASCII Book Editorial Department, "i mode Java programming – Standalone Applications: Newly-Revised Edition", Japan, ASCII Corporation, May 21, 2001, 1$^{st}$ Edition, pp 19, 20 and 40-52 (ISBN: 4-7561-3790-3) (with conscious explanation) |
| | D26 | Kaku, T. et al., "Introduction to Java Programming in i-mode, 1$^{st}$ Edition" *Nikkei Business Publications, Inc.,* March 26, 2001, pp.37-41 (with partial translation) |
| | D27 | "i Appli Service & 503i Series" *Business Communication,* vol.38, No.2, February 1, 2001, pp. 44-47 (with partial translation) |
| | D28 | Jaworski, J., "Java 2 Security Programming" Java Security Handbook, 1$^{st}$ Edition, April 25, 2001, pp.79-90, 529-541(with partial translation) |
| | D29 | Kawaguchi, "Practically Useful Homepages Vol. 30", Nikkei PC21, Nikkei Business Publication, Inc., Jun. 1, 2000, Vol. 5, No. 11 pp150-154 (with partial translation) |
| | D30 | Chinese Office Action dated May 12, 2006 in Chinese patent application number 03807636.5 (with translation) |
| | D31 | Chinese Office Action dated December 1, 2006 in Chinese patent application number 03807636.5 (with translation) |
| | D32 | Japanese Office Action issued August 28, 2007 in Japanese Patent Application number 2002-001843 (with translation) |
| | D33 | Japanese Office Action issued October 16, 2007 in Japanese Patent Application No. 2003-581004 (with translation) |
| | D34 | Japanese Office Action issued July 22, 2008 in Japanese Patent Application number 2002-001843 (with translation) |

| EXAMINER /Piotr Poltorak/ | DATE CONSIDERED 12/09/2008 |
|---|---|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.P./